

Exhibit A

PATENT
Docket No. 57338US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Matthew T. SCHOLZ et al.) Group Art Unit: 1616
)
Serial No.: 10/051,719) Examiner: Unassigned
Confirmation No.: 8633)
Filed: 16 January 2002)
For: ANTISEPTIC COMPOSITIONS AND METHODS

COPY

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application. Consideration of each of the documents listed on the attached 1449 form(s) is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicants further request that a copy of the 1449 form(s), marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

Applicants also wish to bring the Examiner's attention to the following pending U.S. Applications, as well as any prior art and any provisional U.S. patent applications referenced therein. A copy of each of the below-listed pending U.S. Patent Applications is provided herewith.

Information Disclosure Statement

Serial No.: 10/051,719

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For: ANTISEPTIC COMPOSITIONS AND METHODS

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List of Pending Non-Published U.S. Patent Applications

| Applicant(s) | Application Number | Filing Date | Serial No. of Provisional Application to which listed Application claims priority |
|---------------|--------------------|-------------|---|
| MOSBEY et al. | 09/966,511 | 09/28/01 | |
| SCHOLZ et al. | 09/967,578 | 09/28/01 | |
| LUCAST et al. | 10/052,032 | 01/16/02 | |
| WANG et al. | 10/052,158 | 01/16/02 | |

It is believed that no fee is due, as this Information Disclosure Statement is filed prior to the receipt of any Action on the merits. However, in the event a fee is due, please charge any fee or credit any overpayment to Account No. 13-4895.

The Examiner is invited to contact Applicants' Representatives at the below-listed telephone number, if they can be of any assistance during prosecution of the present application.

CERTIFICATE UNDER 37 C.F.R. 1.8:

The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202, on this 21 day of August, 2002.

Signature: Ann M. Mueting
Name: Ann M. Mueting

Respectfully submitted for
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PATENT TRADEMARK OFFICE

August 21, 2002
Date

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OMB No. 0651-0011
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|---|------------------------------|------------------------|
| INFORMATION DISCLOSURE STATEMENT | Atty. Docket No.: 57338US002 | Serial No.: 10/051,719 |
| | Applicant(s): SCHOLZ et al. | Confirmation No.: 8633 |
| | Filing Date: 16 January 2002 | Group: 1616 |

U.S. PATENT DOCUMENTS

| Examiner Initial | | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
|------------------|--|-----------------|----------|------------------|-------|----------|----------------------------|
| | | 3,216,983 | 11/09/65 | Shelanski et al. | | | |
| | | 4,199,564 | 04/22/80 | Silver et al. | | | |
| | | 4,542,012 | 09/17/85 | Dell | | | |
| | | 4,584,192 | 04/22/86 | Dell et al. | | | |
| | | 4,597,975 | 07/01/86 | Woodward et al. | | | |
| | | 4,883,828 | 11/28/89 | Oakes et al. | | | |
| | | 4,978,527 | 12/18/90 | Brink et al. | | | |
| | | 5,013,763 | 05/07/91 | Tubesing et al. | | | |
| | | 5,173,291 | 12/22/92 | Brink et al. | | | |
| | | 5,235,015 | 08/10/93 | Ali et al. | | | |
| | | 5,408,022 | 04/18/95 | Imazato et al. | | | |
| | | 5,437,932 | 08/01/95 | Ali et al. | | | |
| | | 5,529,770 | 06/25/96 | McKinzie et al. | | | |
| | | 5,543,074 | 08/06/96 | Hague et al. | | | |
| | | 5,618,841 | 04/08/97 | Kross | | | |
| | | 5,621,058 | 04/15/97 | Kondo et al. | | | |
| | | 5,665,776 | 09/09/97 | Yu et al. | | | |
| | | 5,681,802 | 10/28/97 | Fujiwara et al. | | | |
| | | 5,763,412 | 06/09/98 | Khan et al. | | | |
| | | 5,800,685 | 09/01/98 | Perrault | | | |
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| | | 5,874,074 | 02/23/99 | Smith | | | |
| | | 5,914,300 | 06/22/99 | Fujiwara et al. | | | |
| | | 5,951,993 | 09/14/99 | Scholz et al. | | | |

| EXAMINER | Date Considered |
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| | |

* Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| Examiner Initial | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
|------------------|-----------------|----------|------------------------|-------|----------|----------------------------|
| | 6,034,129 | 03/07/00 | Mandeville, III et al. | | | |
| | 6,039,940 | 03/21/00 | Perrault et al. | | | |
| | 6,086,911 | 07/31/00 | Godbey | | | |
| | 6,123,933 | 09/26/00 | Hayama et al. | | | |
| | 6,194,530 B1 | 02/27/01 | Klesse et al. | | | |
| | 6,228,354 B1 | 05/08/01 | Jeng | | | |
| | 6,251,967 B1 | 06/26/01 | Perichaud et al. | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Country | Class | Subclass | Translation | |
|------------------|-----------------|----------|---|-------|----------|-------------|----|
| | | | | | | Yes | No |
| | JP 5-295317 | 11/09/93 | Japan (English Language Translation and Abstract Included) | | | X | |
| | JP 8-89779 | 04/09/96 | Japan (English Language Abstract Included) | | | | X |
| | JP 8-325538 A2 | 12/10/96 | Japan (English Language Translation and Abstract Included) | | | X | |
| | JP 2983449 B2 | 09/24/99 | Japan (English Language Abstract Included) | | | | X |
| | JP 11-228609 | 08/24/99 | Japan (English Language Abstract Included) | | | | X |
| | JP 11-269448 | 10/05/99 | Japan (English Language Translation and Abstract Included) | | | X | |
| | WO 86/05391 A1 | 09/25/86 | PCT | | | | |

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| | |

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|--|----------------|----------|---|--|--|---|
| | WO 96/20227 A1 | 07/04/96 | PCT | | | |
| | WO 01/28572 A1 | 04/26/01 | PCT | | | |
| | SU 1565855 A1 | 03/05/88 | Russia (English Language Abstract Included) | | | X |

OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

| Examiner Initial | Document Description |
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| | "Airvol Polyvinyl Alcohol" Product Bulletin, available as CELVOL polyvinyl alcohols, Celanese Ltd., Dallas, TX (undated). |
| | ASTM E 1173-93, "Standard Test Method for Evaluation of a Pre-Operative Skin Preparation," <i>Annual Book of ASTM Standards</i> , Vol. 11.05, Title page and pp. 381-383 (2001). |
| | ASTM D 3278-96, "Standard Test Methods for Flash Point of Liquids by Small Scale Closed-Cup Apparatus," <i>Annual Book of ASTM Standards</i> , Vol. 06.01, Title page and pp. 366-373 (2002). |
| | Billmeyer, Jr., <i>Textbook of Polymer Science</i> , 2 nd Edition, Wiley-Interscience, Title Page, Publication page, Table of Contents and pp. 84-89 (1971). |
| | Block, <i>Disinfection, Sterilization, and Preservation</i> , 4 th Edition, Lea & Febiger, Philadelphia, PA, Gottardi, "Chapter 8, Iodine and Iodine Compounds," Title page and pp. 152-166 (1991). |
| | Butterfield, "The Selection of a Dilution Water for Bacteriological Examinations," <i>Journal of Bacteriology</i> , Vol. 23, No. 355, Baltimore, MD, Title page and pp. 355-368 (1932). |
| | Draize, "Dermal Toxicity," <i>Appraisal of the Safety of Chemicals in Foods, Drugs, and Cosmetics</i> , Association of Food and Drug Officials of the United States, Topeka, Kansas, Title page and pp. 46-59 (1959). |
| | Providone-Iodine [online] United States Pharmacopeia monograph, USP-NF Online8/1/02-12/31/02 [retrieved on 2002-08-20]. Retrieved from the Internet: <URL: www.uspnf.com>. |

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|--|-----------------|
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Receipt is hereby acknowledged for the following in the U.S. Patent and Trademark Office:

Applicant(s): Matthew T. SCHOLZ et al.

Serial No.: 10/051,719

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Title: ANTISEPTIC COMPOSITIONS AND METHODS



Enclosed: An Information Disclosure Statement (2 pgs); copies of 1449 applications; 1449 forms (3 pgs); copies of 50 documents cited on the 1449 forms; Preliminary Amendment (10 pgs) - including Appendix A (4 pgs); and transmittal document (in triplicate).

Mailed: August 21, 2002

Docket: 57338US002 (M&R Ref. No.: 100.52338010)

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